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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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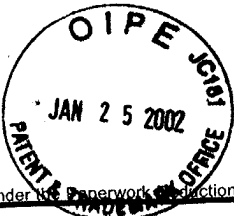
|  |                               |                          |
|--|-------------------------------|--------------------------|
| <b>TRANSMITTAL<br/>FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>     | 09/905,186               |
|  | <b>Filing Date</b>            | July 13, 2001            |
|  | <b>First Named Inventor</b>   | Mary Jeanne Kreek et al. |
|  | <b>Group Art Unit</b>         | 1645                     |
|  | <b>Examiner Name</b>          | Unknown                  |
| <b>Total Number of Pages in This Submission</b>  | <b>Attorney Docket Number</b> | 600-1-284N               |

| <b>ENCLOSURES (check all that apply)</b>   |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group   |
| <input checked="" type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences   |
| <input type="checkbox"/> Amendment / Reply   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                                   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Sequence Listing and Diskette, Amendment, Postcard and Check |
| <input type="checkbox"/> Express Abandonment Request                                 | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Information Disclosure Statement                            | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                      | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | <b>Remarks</b>  |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         | Applicant claims Small Entity Status  |   |

| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |                                   |
|---|-----------------------------------|
| Firm or Individual name                           | David A. Jackson, Reg. No. 26,742 |
| Signature   |                                   |
| Date  | January 3, 2002                   |

| <b>CERTIFICATE OF MAILING</b>   |                 |
|---|-----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>January 3, 2002</u> |                 |
| Typed or printed name   | Anne M. Jones   |
| Signature   |                 |
| Date  | January 3, 2002 |

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PTO/SB/17 (10-01)

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

**Complete if Known**

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 09/905,186               |
| Filing Date          | July 13, 2001            |
| First Named Inventor | Mary Jeanne Kreek et al. |
| Examiner Name        | Unknown                  |
| Group Art Unit       | 1645                     |
| Attorney Docket No.  | 600-1-284N               |

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |         |
|------------------------|---------|
| Deposit Account Number | 11-1153 |
| Deposit Account Name   |         |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740                    | 201 370                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 510                    | 207 255                    | Plant filing fee       |          |
| 108 740                    | 208 370                    | Reissue filing fee     |          |
| 114 160                    | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | X              |          |
| Multiple Dependent | -3** =       | X              |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 84                     | 202 42                     | Independent claims in excess of 3                          |
| 104 280                    | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 84                     | 209 42                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Fee Code   | Large Entity Fee (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|------------|-----------------------|----------------------------|--|----------|
| 105 130    | 205 65                |                            | Surcharge - late filing fee or oath  |          |
| 127 50     | 227 25                |                            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130    | 139 130               |                            | Non-English specification  |          |
| 147 2,520  | 147 2,520             |                            | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*   | 112 920*              |                            | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840* | 113 1,840*            |                            | Requesting publication of SIR after Examiner action                        |          |
| 115 110    | 215 55                |                            | Extension for reply within first month                                     |          |
| 116 400    | 216 200               |                            | Extension for reply within second month                                    | 460.00   |
| 117 920    | 217 460               |                            | Extension for reply within third month                                     |          |
| 118 1,440  | 218 720               |                            | Extension for reply within fourth month                                    |          |
| 128 1,960  | 228 980               |                            | Extension for reply within fifth month                                     |          |
| 119 320    | 219 160               |                            | Notice of Appeal   |          |
| 120 320    | 220 160               |                            | Filing a brief in support of an appeal                                     |          |
| 121 280    | 221 140               |                            | Request for oral hearing   |          |
| 138 1,510  | 138 1,510             |                            | Petition to institute a public use proceeding                              |          |
| 140 110    | 240 55                |                            | Petition to revive - unavoidable   |          |
| 141 1,280  | 241 640               |                            | Petition to revive - unintentional   |          |
| 142 1,280  | 242 640               |                            | Utility issue fee (or reissue)   |          |
| 143 460    | 243 230               |                            | Design issue fee   |          |
| 144 620    | 244 310               |                            | Plant issue fee  |          |
| 122 130    | 122 130               |                            | Petitions to the Commissioner  |          |
| 123 50     | 123 50                |                            | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180    | 126 180               |                            | Submission of Information Disclosure Stmt                                  |          |
| 581 40     | 581 40                |                            | Recording each patent assignment per property (times number of properties) |          |
| 146 740    | 246 370               |                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740    | 249 370               |                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740    | 279 370               |                            | Request for Continued Examination (RCE)                                    |          |
| 169 900    | 169 900               |                            | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 460.00)

**SUBMITTED BY**

Name (Print/Type) David A. Jackson, Esq.

Signature

Registration No. (Attorney/Agent)

26,742

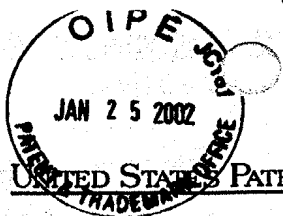
**Complete (if applicable)**

Telephone (201) 487-5800

Date January 3, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/905,186         | 07/13/2001          | Mary Jeanne Kreek     | 600-1-284N             |

CONFIRMATION NO. 3062

23565  
KLAUBER & JACKSON  
411 HACKENSACK AVENUE  
HACKENSACK, NJ 07601

FORMALITIES LETTER



\*OC000000007081999\*

Date Mailed: 11/16/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)*****Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 10/09/2001 to the Notice to File Missing Parts (Notice) mailed 08/06/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain **EXTENSIONS OF TIME** under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).


A complete reply must be timely filed to prevent **ABANDONMENT** of the above-identified application.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE